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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
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|-----------------|-------------|----------------------|---------------------|------------------|

10/534,429

05/10/2005

Guido Koch

2619-0037WOUS

4979

35301

7590

10/16/2007

EXAMINER

MCCORMICK, PAULDING & HUBER LLP  
CITY PLACE II  
185 ASYLUM STREET  
HARTFORD, CT 06103

ART UNIT

PAPER NUMBER

DATE MAILED: 10/16/2007

Please find below and/or attached an Office communication concerning this application or proceeding.

|  |                                       |                                    |  |
|--|---------------------------------------|------------------------------------|--|
| <b>Notification of Non-Compliant Appeal Brief</b><br><b>(37 CFR 41.37)</b> | <b>Application No.</b><br>10/534,429  | <b>Applicant(s)</b><br>KOCH ET AL. |  |
|  | <b>Examiner</b><br>FREDRICK C. CONLEY | <b>Art Unit</b><br>3673            |  |

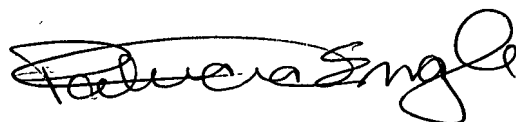
--The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

The Appeal Brief filed on \_\_\_\_\_ is defective for failure to comply with one or more provisions of 37 CFR 41.37.

To avoid dismissal of the appeal, applicant must file an amended brief or other appropriate correction (see MPEP 1205.03) within **ONE MONTH or THIRTY DAYS** from the mailing date of this Notification, whichever is longer.  
**EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136.**

1. ☐ The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order.
2. ☐ The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to, canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)).
3. ☐ At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)).
4. ☐ (a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)).
5. ☐ The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi)).
6. ☐ The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)).
7. ☐ The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)).
8. ☐ The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner **and relied upon by appellant in the appeal**, along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)).
9. ☐ The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR 41.37(c)(1)(x)).
10. ☒ Other (including any explanation in support of the above items):

See Continuation Sheet.



PATRICIA ENGLE  
 SUPERVISORY PATENT EXAMINER  
 TECHNOLOGY CENTER 3600

10-15-07

Continuation of 10. Other (including any explanation in support of the above items): With regards to the Appellant's newly submitted secondary considerations in Appendix IX, 37 CFR 41.37(c)(1) states,

"Any appendix containing copies of any evidence submitted pursuant to 1.130, 1.3.1 or 1.1.32 of this title or of any other evidence entered by the examiner and relied upon by appellant in the appeal, along with a statement setting forth where in the record that evidence was entered in the record by the examiner. Reference to un-entered evidence is not permitted in the brief. See 41.33 for treatment of evidence submitted after appeal." (emphasis added)

Further, 37 CFR 41. 337(c)(2) states,

"A brief shall not include any new or non-admitted amendment, or any new or non-admitted affidavit or other evidence." (emphasis added)

Appellant's attention is directed to 37 CFR 41.33 for guidance as to when evidence can be entered after the filling of a notice of appeal.

If Appellant has previously timely submitted the evidence referred to above, Appellant should provide a copy in the evidence appendix and a statement of when the evidence was entered by the examiner. If the evidence referred to above, has not been previously entered Appellant should consult 37 CFR 41.33 and/or remove the reference to un-entered evidence for the appeal brief..